

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No.: 8643

Group Art Unit: 2161

Examiner: Paul Kim

Attorney Docket No.: 44420423-8016

INFORMATION DISCLOSURE **STATEMENT** 

SONNENSCHEIN NATH & ROSENTHAL LLP Customer No. 45969

William E. Sobel

Application No.: 10/645,989

Filing Date: August 22, 2003

Title: Source Independent File Attribute

Tracking

4 M/S AMENDMENT Commissioner for Patents

P.O. Box 1450

Arlington, VA 22313-1450

**CERTIFICATE OF MAILING** 

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Sir:

Pursuant to the provisions of 37 CFR § 1.56 and §1.97-§1.98, Applicant hereby submits patents, publications or other information enclosed herewith and listed on the enclosed Form PTO-1449 (modified) of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose. This Information Disclosure Statement is filed after the first Office Action but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by the fee (\$180) set forth in 37 CFR § 1.17(p).

A list of the patents and publications is set forth on the attached Form PTO-1449 (Modified). A copy of the items on PTO-1449 (Modified) is supplied herewith, except for issued United States Patents and published United States Patent Applications. A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is given only for the non-English language references.

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention." MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, submission of this IDS is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement complies with 37 CFR § 1.98 and MPEP § 609, and the Examiner is respectfully requested to consider the listed references.

You are authorized to charge the fee of \$180.00 set forth in 37 C.F.R. §1.17(p) to the credit card authorization enclosed herewith. The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in a required fee to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed. A duplicate copy of this Notice is enclosed for this purpose.

Respectfully submitted,

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date of signature 26, 2006 By:\_

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Atty Docket No.: 44420423-8016

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known					
Application Number	10/645,989				
Filing Date	August 22, 2003	•			
First Named Inventor	William E. Sobel				
Group Art Unit	2161				
Examiner Name	Paul Kim				
Attorney Docket Number	44420423-8016				

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		U	.S. P	ATENT DO	CUMENTS		
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (if known)</sup>		ication Date -DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passage Relevant Figures Appe	s or
		US-5,832,527	1	1/3/1998	Kawaguchi		
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	•	FC	REIGI	N PATENT D	OCUMENTS		
Examiner Initials*	Cite No.1 Foreign Patent Number  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if k		Publication Dat MM-DD-YYYY			Pages, Columns, Lines, Where Relevant Passages or	Τ¢
						Relevant Figures Appear	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unque citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Date

Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Examiner

Signature